

Facility Name: Summit Alliance Port Limited-IWCT

Country:	Bangladesh	Assessment Stage:	Annual
Date of Verification:	28-Nov-2023	Report Number:	A5125749
Last Verification Date:	28-Nov-2022 (97%)	Site ID:	F_IAR_135218
Auditor Primary:	Md Tarequzzaman	Service Provider:	Intertek
Auditor Secondary:	NA	Audit Location:	Onsite

OVERALL FACILITY SCORE

97%



PERFORMANCE INDEX BAR



Participating Facilities: 7589

The C-TPAT Security Criteria and Guidelines indicate that "Must" is a requirement of the program participation. "Should" means that the procedure is considered to be an industry "best practice."

PERFORMANCE SUMMARY

Section Name	Score	Must - High	Must - Low	Should - High	Should - Low
Corporate Security	100%	0	0	0	0
Security Vision and Responsibility	100%	0	0	0	0
Risk Assessment	100%	0	0	0	0
Business Partners	100%	0	0	0	0
Cyber Security	100%	0	0	0	0
Transportation Security	100%	0	0	0	0
Conveyance and Instruments of International Traffic Security	100%	0	0	0	0
Seal Security	100%	0	0	0	0
Procedural Security	100%	0	0	0	0
Agricultural Security	100%	0	0	0	0
People and Physical Security	90%	0	0	2	0
Physical Security	90%	0	0	2	0
Physical Access Controls	100%	0	0	0	0
Personnel Security	100%	0	0	0	0
Education, Training and Awareness	100%	0	0	0	0
Overall	97%	0	0	2	0

Intertek's GSV integrates multiple global supply-chain security initiatives, including C-TPAT (Customs Trade Partnership Against Terrorism), PIP (Partners in Protection) and AEO (Authorized Economic Operators).

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A. FACILITY PROFILE

A1. FACILITY INFORMATION

Facility Name:	Summit Alliance Port Limited-IWCT	Contact Name:	Mr. Shabbir Alim
Facility Legal Name:	Summit Alliance Port Limited-IWCT	Contact Title:	Assistant Manager
Audit Location:	West Muktarpur, Sadar, Munshiganj	Email:	compliance.iwct@saplbd.com
City:	Munshiganj	Country:	Bangladesh

A2. EMPLOYEE INFORMATION

NUMBER OF EMPLOYEES

Range	Actual Total	Permanent	Temporary	Foreign	Migrant
51-100	54(100%)	54(100%)	0(0%)	0(0%)	0(0%)

List of Nationalities: NA

A3. BUSINESS OVERVIEW

Industry:	Transportation and Warehousing	Warehouse customs bonded:	Yes
Key/Main Product:	Container Yard and warehouse	Free trade zone:	No
Facility land size (in Sqm):	57424	Total facility floor size (in Sqm):	7969

Total Nr of Buildings	Distribution	Production	Warehouse	Container Yards	Others
9	0	0	4	1	4

Security Program Participation	Issuing Body	Certificate Number	Expiry Date
GSV (Intertek)	Intertek	F_IAR_135218_GSV-R3	12/05/2023 00:00:00

A4. EXPORT LOGISTICS

PERCENTAGE OF GOODS EXPORTED TO US

By Air	By Sea	By Rail	By Truck
0	100	0	0

FACILITY RESPONSIBLE FOR THE RELATIONSHIP WITH THE FOLLOWING TYPE OF LOGISTICS

Air:	Never	Consolidators:	Never
Sea:	Always	Freight Forwarders:	Never
Rail:	Never	NVOCC:	Never
Land Carriers:	Never	Other 3rd party logistics providers:	Never

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The companies used vary routes:	No	The companies used Global Positioning Satellite (GPS):	Not applicable
The companies used employ security guards:	Yes	The companies used truck convoys:	No
The companies used provide vehicle escort:	No		

A5. GENERAL INFORMATION

Brief description of the facility:

Summit Alliance Port Limited-IWCT is located at West Muktarpur, Panchashar, Munshiganj, Dhaka, Bangladesh. This facility has been set up as an Inland Container Depot for empty container handling, CFS Container, Freight Station (CFS) for Export & Import Containerized cargos delivery. The company was established in 2016. Facility has total 54 employees. The facility working days are Sunday to Friday and working hour is in two shifts i.e., 8:00am –5:00pm and 8:00pm – 5:00am. With 01-hour break. The facility involved in container shipment. The facility opens in all days and employees get one day off in rotation basis. Labor suppliers name are MS. RS Enterprise. Facility uses Govt. security Anser- (Para-Military Auxiliary force) for internal security protection. Facility has 20 Anser- (Para-Military Auxiliary force). All guards have been used for security purposes in the whole facility. Facility has 55 CCTV cameras in different location of the facility. Facility loading/unloading area was found secured by CCTV and security monitoring.

Brief description of loading process for shipment:

After receiving information from shipping line checking of documents regarding the cargoes checked with physical cargos number. Then information regarding the shipment put in facility software SODMS (Shipping off Dock Management System). After putting the information facility gets a mail regarding the staffing information and select container for staffing. Facility conducts 7 point inspection for the empty container arrived at the facility for shipment. After the confirmation from security supervisor and transport security responsible person the container is sent to the loading point. As per facility management comments authorized employees (loaders) load the containers (as per loading plan) with proper count & weight measurement system.

Brief description of sealing process:

Facility has a designated assigned employee to handle high security seals. After the completion of container loading (as per loading plan) responsible person assigned seal the containers in front of security supervisor and keep record of the seal number for tracking and inventory.

Brief description of direct shipments to port process:

Shipment from facility goes directly to Chittagong port. In port authority receive the containers after checking the seal.

In-country transport services detail:

Facility uses own transport service

Required transit time between audited facility to the port / the next supply chain:

18 to 20 hours to port

CCTV details:


Facility has 55 CCTV cameras in different location of the facility. Facility loading/unloading area was found secured by CCTV and security monitoring.

Security guard force details:

Total 20 ansar were employed in different location e.g. facility main entrance gate, warehouse, watch tower, yard corner for security purpose. Those are carried out duty in 24 hours.

B. RESULT DETAILS

The following section includes all findings noted during the on-site audit.

Physical Security					90%	
RATING	Must - High	Must - Low	Should - High	Should - Low	Total	
NEW NON-COMPLIANCES	0	0	2	0	2	

New Non- Compliances

ID #	Finding	Rating
O0381	The company does not have an automatic intrusion detection or alarm system installed in sensitive/controlled access areas.	Should - High
% of occurrence at other facilities 88%	Past occurrences at this facility 1 time(s): 22-Oct-2020	
Auditor Comments Based on floor visit, facility did not have an automatic intrusion detection or alarm system installed in sensitive/controlled access areas.		
Minimum Security Criteria Security technology should be utilized to monitor premises and prevent unauthorized access to sensitive areas.		

New Non- Compliances

ID #	Finding	Rating
O0406	CCTVs are not used to monitor activity in the company's premise and sensitive areas.	Should - High
% of occurrence at other facilities 6%	Past occurrences at this facility 1 time(s): 28-Nov-2022	
Auditor Comments Based on facility visit it was noted that CCTV camera were not installed imports goods delivery area located at shed-03 & shed-04. However, as per management comments installation process is ongoing. Note that, CCTV cameras were found in other areas.		
Minimum Security Criteria If camera systems are deployed, cameras should monitor a facility's premises and sensitive areas to deter unauthorized access. Alarms should be used to alert a company to unauthorized access into sensitive areas.		

C. BEST PRACTICES AND RECOMMENDED BEST PRACTICES

CBP describes Best Practices as innovative security measures that exceeds the CTPAT criteria and industry standards. For C-TPAT purposes, a best practice must meet all five of the following requirements, all of which are subject to verification: (1. Senior management support; 2. Innovative technology, process or procedures; 3. Documented process; 4. Verifiable evidence; and, 5. A regular system of checks, balances and accountability.)

Existing Best Practices:

1. Business Partners

- Not applicable

2. Cyber Security

- Not applicable

3. Conveyance and Instruments of International Traffic Security

- Not applicable

4. Seal Security

- Not applicable

5. Procedural Security

- Not applicable

6. Agricultural Security

- Not applicable

7. Physical Security

- Not applicable

8. Physical Access Controls

- Not applicable

9. Personnel Security

- Not applicable

10. Education, Training and Awareness

- Not applicable

Recommended Best Practices:

1. Business Partners

- Not applicable

2. Cyber Security

- Not applicable

3. Conveyance and Instruments of International Traffic Security

- Not applicable

4. Seal Security

- Not applicable

5. Procedural Security

- Not applicable

6. Agricultural Security

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- Not applicable

7. Physical Security

- Not applicable

8. Physical Access Controls

- Not applicable



























9. Personnel Security




- Not applicable

10. Education, Training and Awareness

- Not applicable

D. PERFORMANCE TREND ANALYSIS

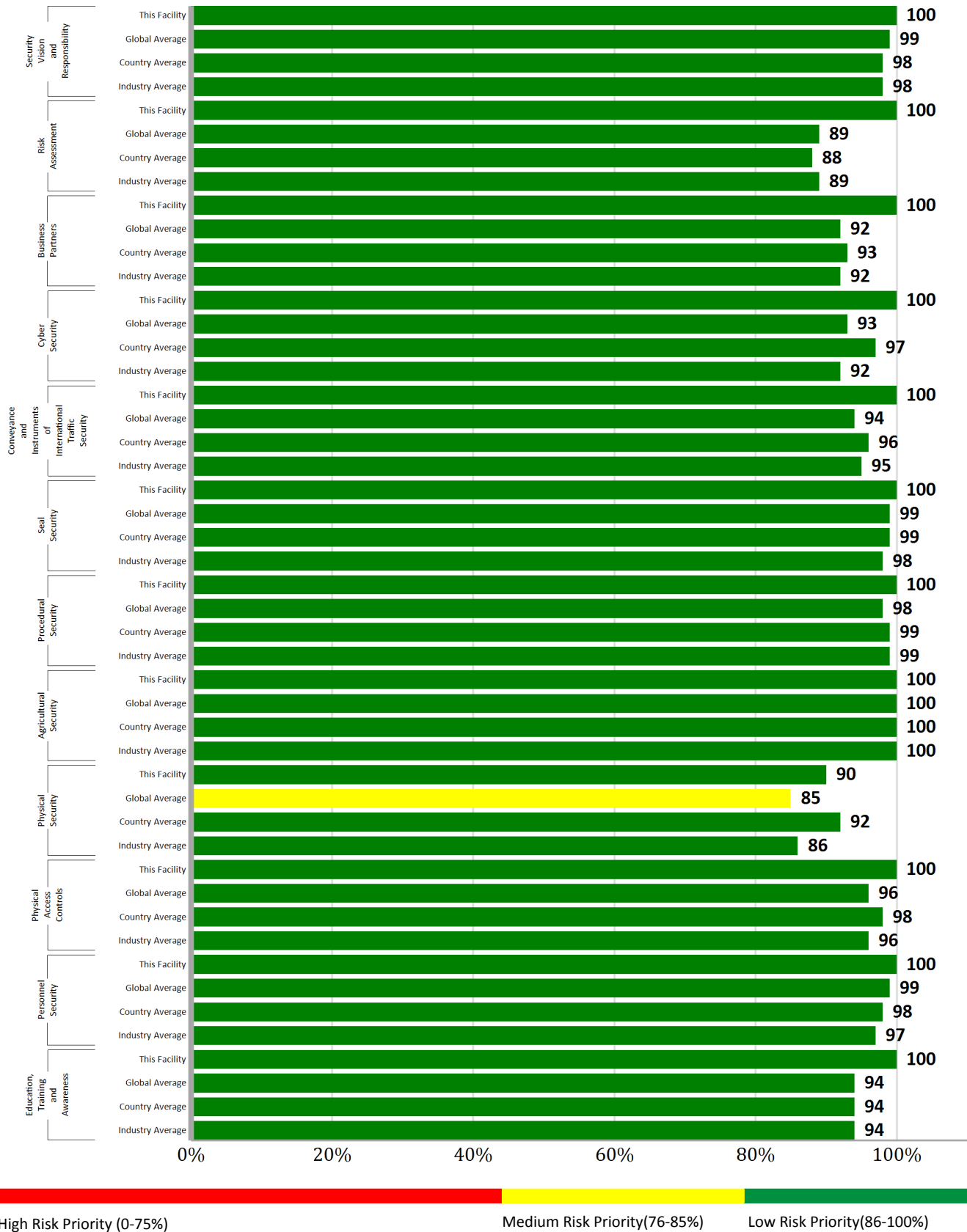
Section Name	Current 28-Nov-2023	Last 28-Nov-2022	First 15- Nov-2021	Change (Current-Last)	Change (Current-First)
Security Vision and Responsibility	100	100	100	0% 	0% 
Risk Assessment	100	100	88	0% 	14% 
Business Partners	100	100	88	0% 	14% 
Cyber Security	100	100	100	0% 	0% 
Conveyance and Instruments of International Traffic Security	100	100	100	0% 	0% 
Seal Security	100	100	100	0% 	0% 
Procedural Security	100	100	100	0% 	0% 
Agricultural Security	100	100	100	0% 	0% 
Physical Security	90	93	88	3% 	2% 
Physical Access Controls	100	100	100	0% 	0% 
Personnel Security	100	93	100	8% 	0% 
Education, Training and Awareness	100	100	100	0% 	0% 
Overall Score	97	97	91	0% 	7% 

 Advancers  Constant  Decliner

E. KEY STRENGTHS AND CHALLENGES

Criteria	Facility Strengths: Facility performance ranks in the top percentile of the population and/or has implemented a best practice process	Global Freq. of Compliance %
Compliant	Where the company makes allowance for the use of personal devices to conduct company work, provisions for the company's cybersecurity policies and procedures are installed and regularly updated in order to engage with the Company Network.	90%
Compliant	The company has documented procedure to handle pest contamination during conveyance / IIT inspection and inspection documentation are kept for 12 months.	90%
Compliant	The company has written procedure for screening new business partners and for monitoring current partners.	90%
Compliant	VVTT seal verification process is followed to ensure all high security seals have been affixed properly to conveyances.	90%
Compliant	Prior to loading/stuffing/packing, all conveyances and empty Instruments of International Traffic undergo CTPAT approved security and agricultural inspections to ensure their structures have not been modified to conceal contraband or have been contaminated with visible agricultural pests.	91%
Compliant	The company conducts audits of seals that includes periodic inventory of stored seals and reconciliation against seal inventory logs and shipping documents and all audits are documented.	91%
Compliant	The CTPAT inspection process has written procedures for both security and agricultural inspections.	91%
Compliant	Seal numbers are electronically printed on the bill of lading or other shipping documents.	92%
Compliant	Risk assessments are reviewed annually, or more frequently as needed.	92%
Compliant	The company (that maintain seal inventories) uses high security seals and maintain document to demonstrate that they use either meet or exceed the most current ISO 17712 standard.	92%
Criteria	Facility Challenges: Facility performance ranks in the bottom percentile of the population	Global Freq. of Compliance %
Should - High	The company does not have an automatic intrusion detection or alarm system installed in sensitive/controlled access areas.	90%
Should - High	CCTVs are not used to monitor activity in the company's premise and sensitive areas.	6%

F. COMPARISON BENCHMARK



FACILITY PHOTOS

Facility Name



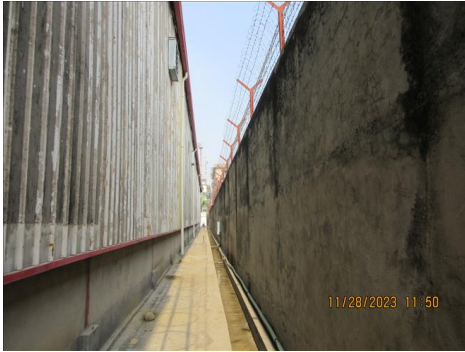
Main Gate



Loading Area



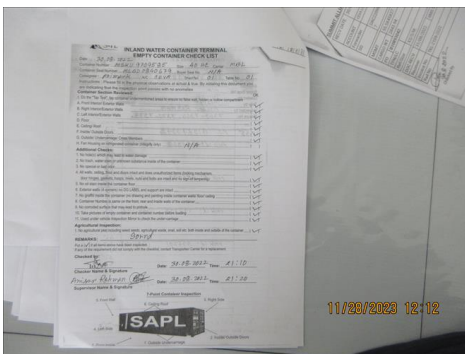
Perimeter Fencing



Finished Goods Warehouse



Container Inspection and Manifest Record



Container Inspection Photos/Records



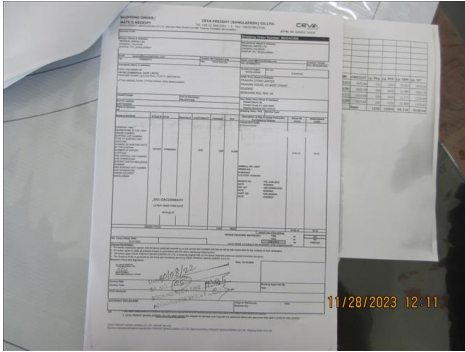
Container Storage Area



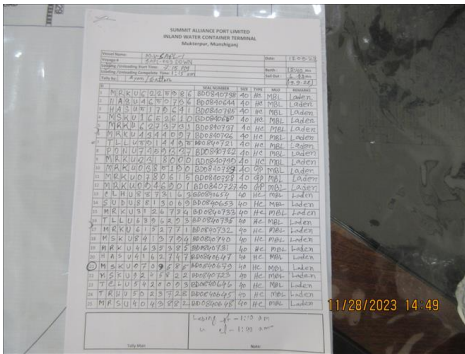
Computer Security



Shipping Documents



Shipping Log



Shipment Security Seal



Affixed Seal On Container



Oldest CCTV Recording



CCTV



CCTV Monitoring



Security Room



Vehicle Parking for Employees



Vehicle Parking for Visitors



Intrusion Detection Equipment

No Image Uploaded

Outside Lighting



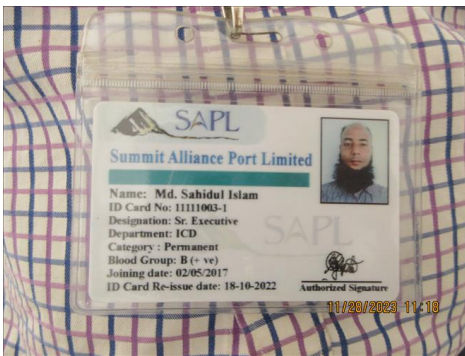
Communication Equipment



Security Guard with Uniform



Employee with ID Badge



Visitor's Card



Overview



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